

EASTERN IOWA MENTAL HEALTH AND
DISABILITY SERVICES REGION

FY2018



ANNUAL SERVICE AND BUDGET PLAN

The Region exists to support an array of services designed to promote community integration for individuals diagnosed with mental, intellectual disabilities, developmental disabilities and/or brain injury. The Regional Budget is a combination of the five County Members individual budgets.



EASTERN IOWA MENTAL
HEALTH AND DISABILITY
SERVICES REGION

Community Services Directors:

SERVING:

Cedar County, Julie Tischuk

Clinton County, Becky Eskildsen

Jackson County, Lynn Bopes

Muscatine County, Michael Johansen

Scott County, Lori Elam

Governing Board Approved: 3/20/2017

Governing Board Approval of Amendment: 6/19/2017

State of Iowa Approved: June 20, 2017



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GUIDING PRINCIPLES

- ☐ The Region must operate in the spirit of cooperation with trust amongst all, with open communication and respect for differences of opinion.
- ☐ Each county's property tax dollars should be spent on services for their residents.
- ☐ One (1) county, one (1) vote.
- ☐ Each county needs to maintain a local presence (local access office) for their residents.
- ☐ Each county must provide uniform services while including utilization of an open provider panel.
- ☐ The Region should not create another layer of government and should maintain current administrative costs, not increase them.
- ☐ Case management providers will be designated by the regional Governing Board of Directors.

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ANNUAL SERVICE AND BUDGET PLAN FOR FISCAL YEAR 18

The Region exists to support an array of services designed to promote community integration for individuals with mental illness, intellectual disabilities, developmental disabilities, and/or brain injury. This plan covers the period from July 1, 2017 through June 30, 2018.

In compliance with Iowa Code 331.390, the Eastern Iowa Mental Health and Disability Services Region, hereafter referred to as the Region, was formed under Iowa Code Chapter 28E.

The Region's Management Plan, in compliance with IAC 441-25 includes three parts: the Annual Service and Budget Plan, the Annual Report and a Policies and Procedures Manual.

The Annual Service and Budget Plan includes: the services to be provided; the cost of those services; local access points; Targeted Case Management Agencies; crisis planning; the scope of services; the projection of need; provider reimbursement provisions; and financial forecasting measures.

Regional Management Plans are available at each local office and the DHS Website: <http://dhs.iowa.gov>. On March 20, 2017 the Annual Service and Budget Plan received approval by the Region's Governing Board and is subject to approval by the Director of Human Services.

A. Access Points, Targeted Case Management Agencies, and Managed Care Organizations

Access Points: An access point is an agency within the Region's service system trained to complete the Region's application for services for individuals with mental illness, intellectual disability, developmental disabilities, and/or brain injury.

Targeted Case Management (TCM): The Region offers choice and access to cost effective, evidenced based, conflict free Targeted Case Management services as described in Iowa Administrative Code 441-25.21(1)g.

The designated case management agencies serving the Region must be accredited by the Department of Human Services and meet the qualifications as defined in the in Iowa Administrative Code 441-24.1 (225C). Additionally each local office provides Service Coordination for individuals who do not qualify for case management. The designation of the TCM agencies will be based on the following criteria:

- Targeted Case Management programs located within the Region.
- Existing relationships between county case management programs and service coordination/county social work.
- Length of time from referral to assignment.
- Length of time from assignment to service implementation.

The Region's Governing Board of Directors, at their monthly meeting on February 27, 2017, has identified and designated the following providers for Targeted Case Management: 1) Cedar County Case Management; 2) Clinton County Case Management; 3) Jackson County Case Management; 4) Muscatine County Case Management; and 6) DHS Targeted Case Management.



The Region has designated the following access points:

a) ACCESS POINTS – County Offices and Targeted Case Management Agencies	
COUNTY OFFICES:	TARGETED CASE MANAGEMENT
Cedar County Community Services 400 Cedar St. Tipton, IA 52772 Tele: (563) 886-1726	Cedar County Case Management 400 Cedar St. Tipton, IA 52772 Tele: (563) 886-1726
Clinton County Community Supports Department 1900 N 3 rd St-PO Box 2957 Clinton, IA 52732 Tele: (563) 244-0563	Clinton County Case Management 1900 N 3 rd St-PO Box 2957 Clinton, IA 52732 Tele: (563) 244-0562
Jackson County Mental Health Department 311 W. Platt St. Maquoketa, IA 52060 Tele: (563) 652-4246	Jackson County Case Management 311 W. Platt St. Maquoketa, IA 52060 Tele: (563) 652-4246
Muscatine County Community Services 315 Iowa Ave. Muscatine, IA 52761 Tele: (563) 263-7512	Muscatine County Case Management 315 Iowa Ave. Muscatine, IA 52761 Tele: (563) 263-7512
Scott County Community Services 600 W. 4 th St. Davenport, IA 52801 Tele: (563) 326-8723	DHS Targeted Case Management 3817 W. Locust St. Davenport, IA 52804 Tele: (563) 388-1098

b) ACCESS POINTS – Mental Health Agencies	
MENTAL HEALTH AGENCIES:	
Abbe Center for Community Mental Health 1039 Arthur St Iowa City, IA 52240 Tele: (319) 338-7884	Hillcrest Mental Health Center 117 S. Olive St. Maquoketa, IA 52060 Tele: (563) 652-4958
Bridgeview Community Mental Health Center 638 S. Bluff Blvd. Clinton, IA 52732 Tele: (563) 243-5633	Mercy Medical Center 1410 N. 4 th St. Clinton, IA 52732 Tele: (563) 244-5678
Cornerstone Wellness Center 1523 S. Bluff Blvd. Clinton, IA 52732 Tele: (563) 243-6054	Vera French Community Mental Health Center 1441 W. Central Park Ave. Davenport, IA 52804 Tele: (563) 383-1900
Genesis Medical Center 1401 W. Central Park Ave. Davenport, IA 52804 Tele: (563) 421-1000	



Managed Care Organizations (MCOs)

On April 1, 2016, the State of Iowa and Centers for Medicare and Medicaid Services (CMS) implemented the change from a fee for service model to a managed care system for most Iowa Medicaid members. It is the intent of the Region to continue to work collaboratively with the MCOs in an order to further develop a partnership which will assure access to services for all residents of the Region.

c) MANAGED CARE ORGANIZATIONS-(MCOs)
Iowa Department of Human Services (DHS)
Managed Care Organization:
Amerigroup Iowa, Inc. Phone: 1-800-454-3730 Website: http://www.myamerigroup.com/IA
AmeriHealth Caritas Iowa, Inc. Phone: 1-844-411-0579 Website: http://www.amerhealthcaritasia.com
UnitedHealthcare Plan of the River Valley, Inc Phone: 1-888-650-3462. Website: http://www.uhccommunityplan.com

B. Crisis Planning

On January 14, 2016, the Regional Governing Board entered into a first year Agreement, known as Phase 1, with the Robert Young Center (RYC) to provide an organized mental health crisis system in the five (5) counties of Cedar, Clinton, Jackson, Muscatine and Scott. Crisis Interventions, responses and resolutions are a continuum of strategies and services as referenced in IAC 441-25.18(2)c. The goal of the crisis service continuum is to engage and assist individuals at an earlier stage of crisis to avoid the need for more intensive services.

On January 30, 2017, the Regional Governing Board entered into a second year Agreement, known as Phase 2, with the Robert Young Center (RYC). Phase 2 will provide additional and complementary services to the organized mental health crisis system.

- Phase 1 of the Agreement will continue to meet the requirements of IAC 441-24.32, Crisis Screenings and Assessments and IAC 441-24.34, a 24-Hour Crisis Line.
- Phase 2 is a second component to Eastern Iowa Crisis System designed to increase Access, decrease Cost, and increase Quality; (ACQ). These components were derived from 146 meetings and conversations with stakeholders.

The Region's Crisis System: Phase 1

Crisis Evaluations, including crisis screening and crisis assessment, in accordance with the requirements of IAC 441-24.32, were developed under Phase 1 of the Eastern Iowa MH/DS Region Crisis System. Screenings and assessments are conducted via TeleHealth or face to face in the Emergency Departments of hospitals within the



Region except services within Cedar County, which are conducted by a Mobile Crisis team due to having no general hospital in Cedar County.

The Regional Crisis System timeline for Phase 1 implementation:

- Contracts executed with Healthnet Connect were completed on 3/2/2016 to share a common goal of instantaneous communication, exchange of medical information and technology-enabled health care.
- TeleHealth equipment was delivered on 3/16/2016 to the entities in Clinton, Jackson, Muscatine and Scott minus Cedar due to no Emergency Department.
- TeleHealth (Vidyo) system trainings were completed on 3/4/2016 to the entities in Clinton, Jackson, Muscatine and Scott minus Cedar due to no Emergency Department.
- TeleHealth MOU's were executed in Clinton at Mercy Medical Center on 6/16/2016; Genesis Health System-DeWitt on 5/24/2016; Jackson County Public Regional Hospital on 5/23/2016; UnityPoint Health- Muscatine on 4/13/2016; and Genesis Health System-Davenport on 5/19/2016.
- TeleHealth went live at UnityPoint Health-Muscatine on 4/13/2016 and Genesis Health System in Davenport on 5/19/2016. Mercy Medical Center in Clinton went live on 9/19/2016 and Genesis Health System in DeWitt on 8/26/2016. Jackson County Public Regional Hospital went live on 9/23/2016.
- Mobile Crisis in Cedar County went live on 7/15/2016.

Available data from the Regional Crisis System:

Cedar County Mobile Crisis				
	Cedar County	Disposition	Plan	Possible Saving on Two Mobile Crisis Screenings & Reconnection:
Call #1	August 2016	Reconnected with family outside home-safety plan In place	No Sheriff transport/No ED Visit/No Admission	\$18,622
Call #2	October 2016	Individual able to create a safety plan and remain in home	No Sheriff Transport/No ED Visit/No Admission	

MH/DS Crisis Evaluations-Delivery Method Jan 14, 2016- Dec 31, 2016						
	Cedar County	Clinton County	Jackson County	Muscatine County	Scott County	Eastern Iowa Total
Telehealth	3	12	2	218	273	508
Face-to-Face	4	33	6	86	519	648

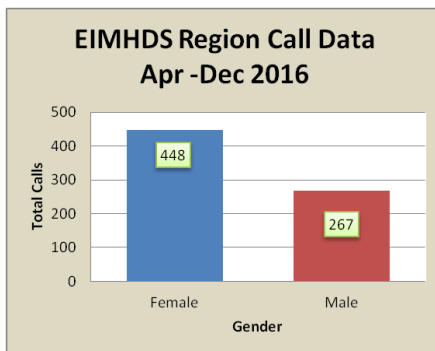
The 24-hour Crisis Line is managed by Foundation 2 which is a crisis center providing counseling, crisis service coordination, information and referral, linkage to services, and crisis screening. The crisis center has the capability to direct individuals who call in a severe psychiatric crisis to utilize their local Emergency Department or Foundation 2 staff can contact local law enforcement agencies to conduct a wellness check.

The Region's Crisis System Line: 1 (844) 430-0375 (toll free)



Available data from the Regional Crisis System:

MH/DS Regional Call Data April 1, 2016-December 31, 2016							
Entity:	Cedar County	Clinton County	Jackson County	Muscatine County	Scott County	Other County of Origin	Eastern Iowa Total
Total Calls:	5	159	23	40	448	40	715



The Region's Crisis System: Phase 2

All aspects of the Eastern Iowa Crisis System for FY18 are anticipated to decrease overall cost while increasing the quality of care and the dignity provided to those with mental illness and their families. Phase 2 of the Eastern Iowa MH/DS Region Crisis System will focus on: a) mobile crisis evaluations; b) criminal justice needs of residents of Eastern Iowa MH/DS Region; c) coordinated treatment from incarceration through release; d) and community based treatment for co-occurring substance abuse and mental health throughout the continuum of services.

The following recommendations of services development for Phase 2 were gleaned from the 146 stakeholder meetings held throughout the five (5) counties with behavioral health service providers, emergency medical service staff, justice system staff, law enforcement staff and local agencies.

Recommendations:

1. Civil Commitment Mobile Pre Screening-(Mobile)
2. Mental health Service Connection in Jail
3. Co-Occurring Assessment/Treatment-(Mobile)
4. CIT Trained Officers-(Training Model)
5. Advisory Group by County-(Focus Criminal Justice)
6. Prescriber Bridge Appointment-(TeleHealth)
7. Trauma Informed Care Competency-(Hospitals/EMS)
8. Peer Support Services-Integrated within services
9. Transitional Housing-(State Funding Dependent)



The Region's Other Crisis Services

In addition to the Eastern Iowa Region Crisis System, there are other services already in place in the Region. The outcomes of these services are to avoid more intensive and costly services such as emergency room visits, inpatient hospitalization and intervention by law enforcement agencies. These services include:

- Funding of preventative services such as psychotropic medications.
- Offering Community Support Programs to individuals not eligible for insurance.
- Mental Health/First Aid Training to stakeholders.
- A project to deflect individuals from inpatient hospital evaluation to an outpatient evaluation under the 229 mental health commitment processes.
- Crisis Care Coordination, i.e., Emergency Lodging Services.
- Mental Health services provided to inmates.
- STEP-IN Crisis Intervention for youth.
- Assistance as needed in completing the Iowa Health and Wellness Plan (IHW) application.
- SOAR (SSI/SSDI Outreach, Access and Recovery)

The following chart identifies providers of basic Crisis Services, including 24-hour access to crisis response and evaluation.

<u>Provider</u>	<u>Location</u>	<u>Contact information</u>
Abbe Center for CMH	1039 Arthur St Iowa City IA 52240	(319) 338-7884
Bridgeview CMHC	638 S Bluff Blvd Clinton IA 52732	(563) 243-5633
Genesis Medical Center Davenport	1401 W Central Park Ave Davenport, IA 52804	(563) 421-1000
Genesis Medical Center DeWitt	1118 11 th St DeWitt, IA 52742	(563) 659-4200
Hillcrest Mental Health Center	117 S Olive St Maquoketa, IA 52060	(563) 652-4958
Jackson County Regional Health Center	700 W Grove St Maquoketa, IA 52060	(563) 652-2474
Mercy Medical Center-Clinton	1410 N 4 th St Clinton, IA 52732	(563) 244-5555
Vera French Community Mental Health Center- VFCMHC	1441 W. Central Park Ave Davenport, IA 52804	(563) 383-1900
The Region's 24 Hour Crisis Line- Foundation 2	1540 2 nd Ave SE Cedar Rapids, IA 52403	1 (844)-430-0375 (Toll Free)
UnityPoint Health Robert Young Center, Crisis Stabilization Unit (CSU)	2701 17 th St Rock Island, IL 61201	Access Center: (309) 779-3001 24/7 Crisis Intervention: (309) 779-2999



<u>Provider</u>	<u>Location</u>	<u>Contact information</u>
UnityPoint Health Trinity Bettendorf	4500 Utica Ridge Rd Bettendorf IA 52722	(563) 742-5000
UnityPoint Health Trinity Muscatine	1518 Mulberry Ave Muscatine IA 52761	(563) 264-9100

C. Scope of Services and Budget

Regional Scope of Services:

The annual budget and planning process is utilized to implement core service improvements. The Region collaborates with stakeholders to assess the need and to advocate for adequate funding of services and supports in the initial core and additional core service domains.

The Region is responsible for services authorized in accordance with the Regional Management Plan for priority population groups of individuals with a diagnosis of Mental Illness and Intellectual Disability.

- The Region, in accordance with Iowa Administrative Code 441-25.21(1)q, will pay for other population groups, those with a diagnosis of a developmental disability and/or brain injury, who were receiving services paid by the counties prior to forming a region.
 - The funding for those population groups will continue to be available as long as it does not limit or reduce core services and priority population funding.
- Services funded by the Region are subject to change with the development of the annual budget each fiscal year.

An individual applying for funding must meet financial, diagnostic and residency requirements in order to be eligible for assistance. The Coordinators of Disability Services (CDS) and Targeted Case Managers will evaluate the individual's needs to determine the type of services that may be appropriate. They will assist the individual to apply for all other available funding sources including but not limited to medical assistance programs and identify any natural supports that may provide additional assistance. If the individual's circumstances warrants further review, the Regional Management Team, as payer of last resort, will evaluate the situation. The goal is to ensure eligible individual's needs are met based on funding constraints and safety concerns.

Regional Scope of the Budget:

The budget process begins in early fall as the Regional Advisory Board, Governing Board of Directors and Management Team discuss the upcoming issues that may affect the next fiscal year budget. The Boards and Team discuss programs, funding levels and emerging topics. Meetings of stakeholders also help guide the future funding decisions.

In developing the Regional budget, the Management Team reviews the historical financial information regarding expenditures for existing services and analyzes the need for the expansion of services. The Fiscal Agent and each County's budget director provide input and guidance. The budget outlines how financial expenditures will be



allocated over the next fiscal year and to which programs taking into consideration both current needs as well as long term development and sustainability of County programs, such as the Eastern Iowa Crisis System.

Tables for Services & Proposed Fiscal Year 18 Budget

On March 20, 2017, the Governing Board of Directors reviewed and adopted the Regional Budget. The Management Team and the reporting fiscal agent, Scott County, are responsible for managing and monitoring the budget adopted by the Regional Governing Board.

Summary of Services- Regional Budget FY18	
Services	Budgeted
Core Domains	\$3,323,531
Mandated Services	\$491,401
Additional Core Services Domains	\$1,262,957
Other Informational Services	\$0
Other Comm. Living Support Services	\$2,441,668
Other Congregate Services	\$4,069,178
Administrative Services	\$1,057,590
Projected Expenditures	\$12,646,325
Projected Accrual Fund Balance as of 6/30/2017	\$12,708,810
Revenue-Budgeted	\$7,625,165
Total Funds Available for FY18	\$20,333,975
Projected Expenditures for FY18	\$12,646,325
Projected Accrual Fund Balance as of 6/30/2018	\$7,687,650

The FY 18 Eastern IA MHDS Region Projected Budget in its entirety (in a table format) is located on the following pages 11-15.

Annual Service and Budget Plan FY 18



Eastern Iowa MHDS Region

FY 2018 Budget	Eastern Iowa MHDS Region	MI (40)	ID(42)	DD(43)	BI (47)	Admin (44)	Total Pg 1
Core Domains							
COA	Treatment						
43301	Assessment & evaluation	15,500	-	-	-	-	\$ 15,500
42305	Mental health outpatient therapy	425,300	280	-	-	-	\$ 425,580
42306	Medication prescribing & management	70,000	-	-	-	-	\$ 70,000
71319	Mental health inpatient therapy-MHI	461,800	-	-	-	-	\$ 461,800
73319	Mental health inpatient therapy	211,620	-	-	-	-	\$ 211,620
	Basic Crisis Response	-	-	-	-	-	
32322	Personal emergency response system	2,000	3,600	-	-	-	\$ 5,600
44301	Crisis evaluation	227,748	-	-	-	-	\$ 227,748
44305	24 hour access to crisis response	777,078	-	-	-	-	\$ 777,078
	Support for Community Living	-	-	-	-	-	
32320	Home health aide	2,000	8,000	-	-	-	\$ 10,000
32325	Respite	5,000	6,000	1,000	1,000	-	\$ 13,000
32328	Home & vehicle modifications	2,500	7,500	-	3,000	-	\$ 13,000
32329	Supported community living	459,693	70,600	90,460	2,500	-	\$ 623,253
	Support for Employment	-	-	-	-	-	
50362	Prevocational services	45,050	45,720	6,500	1,500	-	\$ 98,770
50367	Day habilitation	61,500	13,000	11,500	1,500	-	\$ 87,500
50364	Job development	26,500	25,500	17,120	1,500	-	\$ 70,620
50368	Supported employment	41,500	68,557	61,905	6,500	-	\$ 178,462
50369	Group Supported employment-enclave	1,500	1,500	1,500	1,500	-	\$ 6,000
	Recovery Services	-	-	-	-	-	
45323	Family support	7,000	-	-	-	-	\$ 7,000
45366	Peer support	17,000	-	-	-	-	\$ 17,000

Annual Service and Budget Plan FY 18



Eastern Iowa MHDS Region

FY 2018 Budget	Eastern Iowa MHDS Region	MI (40)	ID(42)	DD(43)	BI (47)	Admin (44)	Total Pg 2
	Service Coordination	-	-	-	-	-	
21375	Case management	2,000	-	-	-	-	\$ 2,000
24376	Health homes	2,000	-	-	-	-	\$ 2,000
	Core Evidenced Based Treatment	-	-	-	-	-	\$ -
04422	Education & Training Services - provider competency	-	-	-	-	-	\$ -
32396	Supported housing	-	-	-	-	-	\$ -
42398	Assertive community treatment (ACT)	-	-	-	-	-	\$ -
45373	Family psychoeducation	-	-	-	-	-	\$ -
	Core Domains Total	2,864,289	250,257	189,985	19,000		\$ 3,323,531
Mandated Services							
46319	Oakdale	-	-	-	-	-	\$ -
72319	State resource centers	-	-	-	-	-	\$ -
74XXX	Commitment related (except 301)	342,437	1,325	-	-	-	\$ 343,762
75XXX	Mental health advocate	144,577	3,062	-	-	-	\$ 147,639
	Mandated Services Total	487,014	4,387	-	-		\$ 491,401
Additional Core Domains							
	Comprehensive Facility & Community Based Crisis Services						
44346	24 hour crisis line	-	-	-	-	-	\$ -
44366	Warm line	-	-	-	-	-	\$ -
44307	Mobile response	123,010	-	-	-	-	\$ 123,010
44302	23 hour crisis observation & holding	-	-	-	-	-	\$ -
44312	Crisis Stabilization community-based services	-	-	-	-	-	\$ -
44313	Crisis Stabilization residential services	-	-	-	-	-	\$ -

Annual Service and Budget Plan FY 18



Eastern Iowa MHDS Region

FY 2018 Budget	Eastern Iowa MHDS Region	MI (40)	ID(42)	DD(43)	BI (47)	Admin (44)	Total Pg 3
	Sub-Acute Services						
63309	Subacute services-1-5 beds	-	-	-	-	-	\$ -
64309	Subacute services-6 and over beds	-	-	-	-	-	\$ -
	Justice system-involved services						
46305	Mental health services in jails	2,000	1,000	1,000	1,000	-	\$ 5,000
25xxx	Coordination services	191,693	-	-	-	-	\$ 191,693
46422	Crisis prevention training	50,000	-	-	-	-	\$ 50,000
46425	Mental health court related costs	-	-	-	-	-	\$ -
74301	Civil commitment prescreening evaluation	881,254	-	-	-	-	\$ 881,254
46399	Justice system-involved services-other	-	-	-	-	-	\$ -
	Additional Core Evidenced based treatment						
42397	Psychiatric rehabilitation (IPR)	12,000	-	-	-	-	\$ 12,000
42366	Peer self-help drop-in centers	-	-	-	-	-	\$ -
	Additional Core Domains Total	1,259,957	1,000	1,000	1,000		\$ 1,262,957
Other Informational Services							
03XXX	Information & referral	-	-	-	-	-	\$ -
04XXX	Consultation (except 422)	-	-	-	-	-	\$ -
05XXX	Public education	-	-	-	-	-	\$ -
	Other Informational Services Total	-	-	-	-	-	\$ -
Other Comm. Living Support Services							
06399	Academic services	-	-	-	-	-	\$ -
22XXX	Services management	363,155	97,108	9,248	-	-	\$ 469,511
23376	Crisis care coordination	836,252	-	-	-	-	\$ 836,252
23399	Crisis care coordination other	-	-	-	-	-	\$ -

Annual Service and Budget Plan FY 18



Eastern Iowa MHDS Region

FY 2018 Budget	Eastern Iowa MHDS Region	MI (40)	ID(42)	DD(43)	BI (47)	Admin (44)	Total Pg 4
24399	Health home other	-	-	-	-	-	\$ -
31XXX	Transportation	49,911	9,556	9,891	-	-	\$ 69,358
32321	Chore services	-	-	-	-	-	\$ -
32326	Guardian/conservator	39,667	32,012	2,620	-	-	\$ 74,299
32327	Representative payee	72,193	43,268	5,930	-	-	\$ 121,391
32399	Other support	-	-	-	-	-	\$ -
32335	CDAC	-	-	-	-	-	\$ -
33330	Mobile meals	-	-	-	-	-	\$ -
33340	Rent payments (time limited)	4,000	-	-	-	-	\$ 4,000
33345	Ongoing rent subsidy	44,000	-	-	-	-	\$ 44,000
33399	Other basic needs	-	-	-	-	-	\$ -
41305	Physiological outpatient treatment	1,000	-	-	-	-	\$ 1,000
41306	Prescription meds	221,597	-	-	-	-	\$ 221,597
41307	In-home nursing	-	-	-	-	-	\$ -
41308	Health supplies	-	-	-	-	-	\$ -
41399	Other physiological treatment	-	-	-	-	-	\$ -
42309	Partial hospitalization	-	-	-	-	-	\$ -
42310	Transitional living program	-	-	-	-	-	\$ -
42363	Day treatment	-	849	-	-	-	\$ 849
42396	Community support programs	255,200	-	-	-	-	\$ 255,200
42399	Other psychotherapeutic treatment	-	-	-	-	-	\$ -
43399	Other non-crisis evaluation	-	-	-	-	-	\$ -
44304	Emergency care	-	-	-	-	-	\$ -
44399	Other crisis services	-	-	-	-	-	\$ -
45399	Other family & peer support	-	-	-	-	-	\$ -

Annual Service and Budget Plan FY 18



Eastern Iowa MHDS Region

FY 2018 Budget	Eastern Iowa MHDS Region	MI (40)	ID(42)	DD(43)	BI (47)	Admin (44)	Total Pg 5
50361	Vocational skills training	1,500	3,000	1,500	-	-	\$ 6,000
50365	Supported education	-	-	-	-	-	\$ -
50399	Other vocational & day services	-	-	-	-	-	\$ -
63XXX	RCF 1-5 beds	30,000	-	-	-	-	\$ 30,000
63XXX	ICF 1-5 beds	-	-	-	-	-	\$ -
63329	SCL 1-5 beds	303,011	5,200	-	-	-	\$ 308,211
63399	Other 1-5 beds	-	-	-	-	-	\$ -
	Other Comm Living Support Services Total	2,221,486	190,993	29,189	-	-	\$ 2,441,668
	Other Congregate Services						
50360	Work services (work activity/sheltered work)	138,100	1,143,700	71,050	16,300	-	\$ 1,369,150
64XXX	RCF 6 and over beds	2,424,468	160,100	115,460	-	-	\$ 2,700,028
64XXX	ICF 6 and over beds	-	-	-	-	-	\$ -
64329	SCL 6 and over beds	-	-	-	-	-	\$ -
64399	Other 6 and over beds	-	-	-	-	-	\$ -
	Other Congregate Services Total	2,562,568	1,303,800	186,510	16,300	-	\$ 4,069,178
	Administration						
11XXX	Direct Administration	-	-	-	-	710,153	\$ 710,153
12XXX	Purchased Administration	-	-	-	-	347,437	\$ 347,437
	Administration Total	-	-	-	-	934,153	\$ 934,153
	Regional Totals	\$9,395,314	\$1,750,437	\$406,684	36,300	\$1,057,590	\$ 12,646,325

(45)County Provided Case Management		-	-	-	-	-	\$ -
(46)County Provided Services		-	-	-	-	-	\$ -

	Regional Grand Total						\$ 12,646,325
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Annual Service and Budget Plan FY 18



Eastern Iowa MHDS Region

FY 2018	Eastern IA MHDS Region		
Revenues			
	Projected Fund Balance as of 6/30/17		\$ 12,708,810
	Local/Regional Funds		\$ 6,960,712
10XX	Property Tax Levied	6,525,811	
12XX	Other County Taxes	17,152	
16XX	Utility Tax Replacement Excise Taxes	233,212	
5310	Client Fees/MH Recovery	184,537	
	State Funds		\$ 664,453
21XX	State Tax Credits	473,916	
2250	MHDS Equalization	0	
2645	State Payment Program	0	
22XX	State Replacement Funds	190,537	
	Federal Funds		\$ -
2344	Social services block grant		
2345	Medicaid		
	Total Revenues		\$ 7,625,165

Total Funds Available for FY18	\$ 20,333,975
FY18 Projected Regional Expenditures	\$ 12,646,325
Projected Accrual Fund Balance as of 6/30/18	\$ 7,687,650



Entity/County	2015 Est. Pop.	47.28 Per Capita Levy	Base Year Expenditure Levy (old maximum)	FY18 New Max Levy	FY18 Actual Levy	Actual Levy Per Capita
Cedar	18,340	867,115	968,646	564,451	564,451	30.78
Clinton	47,768	2,258,471	2,883,428	1,470,159	1,470,159	30.78
Jackson	19,444	919,312	787,145	598,429	598,429	30.78
Muscatine	43,011	2,033,560	2,055,392	1,323,752	1,323,752	30.78
Scott	172,126	7,980,817	3,308,032	5,297,532	3,308,032	19.22
Eastern Iowa Region	300,689			9,254,323	7,264,823	24.16

*Scott County, fiscal agent, recommended the FY18 actual levy per capita should not be an average of the rates but of the total levy dollars/population. This properly weights the levy per capita over the population of the Region.

D. Financial Forecasting Measures

Best Practice

The purpose of the financial forecast is to evaluate past, current and projected fiscal conditions to guide policy and programmatic decisions. An effective forecast allows for improved decision-making in maintaining fiscal responsibility and delivering essential core and core plus services.

The CEO and the Management team oversee development of the annual regional budget to analyze and estimate revenue and expenditures trends from each member county. The Region's budget is monitored monthly for progress toward goals, to help control spending, and to predict cash flow and profit.

Analysis

The analysis includes an examination of historical data and relevant economic conditions including funding cycles and demographic trends.

Funding Cycle:

During the 2017 legislative session SF 504 was passed on May 5, 2017.

This bill made a number of changes pertaining to how mental health and disability services are funded within regions. It also included the spending down of cash reserves, exploring the future financial viability of regions, and the ability to provide services with individuals with complex psychiatric needs.

- The bill equalized the property tax levies in each county on a regional basis by setting a new maximum per capita amount that can be levied across the region.
- \$47.28 per capita is the statewide regional cap.
- The Eastern Iowa Mental Health and Disability Services Region's FY 18 maximum per capita is \$30.78.
- The financial and levy tables in this document have been revised accordingly.

Demographic Trends:

Demographic trends gathered from the Eastern Iowa Crisis System provide information based upon population, age range and gender.

Historical Data will continue to be analyzed for future forecasting measures.

Gathering information:



The CEO/Management Team and the Regional Advisory Committee used financial forecasting measures to identify unmet needs and areas for service development, which were incorporated into strategic plans and budgets. Conversations with local providers provided further insight for service needs within the community. Additionally, 146 stakeholder meetings helped shape the future of the Eastern Iowa Crisis system, Phase 2.

E. Provider Reimbursement Provisions

The Region may contract with MH/DS providers whose base of operation is in the Region or they may use a rate agreement. The Region may also honor contracts/rate agreements with other regions and may also develop contracts/rate agreements with providers not based in the Region. A contract/rate agreement may not be required for a one-time or “as needed” service.

The Region will use a “fee for service” reimbursement methodology for all of its services with the exception of Eastern Iowa Crisis System. In this instance, the Region will provide funding through a capacity grant (block grant) mechanism to ensure the program is available and operational 24 hours a day/7 days a week. The Region entered into an Agreement with the Robert Young Center to develop, implement and manage Phase I and Phase 2 of its Crisis Services.

Phase 1 Foundational Components Reimbursement Provisions:

Regional Mental Health Crisis Services Agreement referred to as the “EI Agreement”.

- EI Agreement was entered into effective January 14, 2016.
- 2.1 Amount & Timing Terms: Installments of \$800,000 every quarter thereafter provided that the total funding for Phase 1 in the first year Agreement shall not exceed \$3,184,087.
- Total cost expended January 2016-January 2017: \$1,610,400.
 - The first amendment to the EI Agreement, effective 7/11/2016, amended the payment terms to postpone receipt of the third and fourth quarterly payments until such time as it provides the EI Region with advance notice of an anticipated need for the funds, and the amount needed due to delay in contracts being signed and hiring of individuals.

Phase 2 Expansions of Crisis Services Reimbursement Provisions:

- Second Amendment to the EI Agreement entered into effective January 14, 2017.
 - The Second Amendment deleted and replaced Section 2.1 Amount and Timing to state the total funding for all services in Year Two shall not exceed \$2,863,135.

The Region and the RYC have acknowledged a capacity grant funding may transition to a blended funding source in future years for sustainability purposes.

The Region’s service contracts/rate agreements require providers meet all applicable licensure, accreditation or certification standards. Successful attainment of positive outcomes, individual and family satisfaction, and cost effectiveness measures are important factors in continued network participation.



The network participation within the Region continues to move forward in collaboration with Iowa State Association of Counties (ISAC) and Iowa Association of Community Providers (IACP) to identify standardized outcomes, including ways to develop financial incentives for obtaining high performance individual outcomes and cost effectiveness of services. The Region will offer opportunities for training, mentoring and support to providers who desire to increase their capabilities and success rate. The Community Services Network (CSN) will be the standardized program used for data collection.

The Region intends to use non-traditional providers when appropriate.

E.I Provider Table

<u>Provider Name</u>	<u>Address</u>	<u>City</u>	<u>State</u>	<u>Zip code</u>	<u>Services Provided</u>
A Plus Home Health Care	890 43rd Ave.	Moline	IL	61265	Home Health Aide
Abbe Center for CMH	1039 Arthur St.	Iowa City	IA	52240	Outpatient Therapy Medication Management Integrated Health Home Crisis Stabilization
ARC of Southeast Iowa	2620 Muscatine Ave.	Iowa City	IA	52240	Residential Supported Employment
Bear Creek Therapy	229 S. Main St., Suite 1	Maquoketa	IA	52060	Outpatient Therapy
Bridgeview CMHC	638 S. Bluff Blvd.	Clinton	IA	52732	Outpatient Therapy Medication-Management Community Support- Program (CSP) Integrated Health Home Crisis Stabilization
Candlelight Services LLC	3901 Marquette St.	Davenport	IA	52806	Transportation
Cedar County Case Management	400 Cedar St.	Tipton	IA	52772	Targeted Case Management
Cedar County Community Services	400 Cedar St.	Tipton	IA	52772	Service Coordination Outreach
City Center (Jay Ambe Corp)	1138 State St.	Bettendorf	IA	52722	Crisis Care Coordination
Crossroads/Cedar Employment Opportunities	401 W. 9th St.	Tipton	IA	52772	Vocational
Clinton County Case Management	1900 N. 3 rd St., PO Box 2957	Clinton	IA	52732	Targeted Case Management
Clinton County Community Supports	1900 N. 3 rd St., PO Box 2957	Clinton	IA	52732	Service Coordination Guardianship Outreach
Clinton Municipal Transit MTA	1320 S. 2nd St.	Clinton	IA	52732	Transportation

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<u>Provider Name</u>	<u>Address</u>	<u>City</u>	<u>State</u>	<u>Zip code</u>	<u>Services Provided</u>
Community Health Care	500 W. River Dr.	Davenport	IA	52801	Health Home Medication
Compassion Counseling Inc.	2435 Kimberly Rd., Alpine Center North, #145N	Bettendorf	IA	52722	Outpatient Therapy
Compassion Counseling Inc.	3500 Oakview Dr., Suite C	Muscatine	IA	52761	Outpatient Therapy
Consumer Designed Services	124 E. 2nd St.	Muscatine	IA	52761	Residential
Cornerstone Wellness	1523 S. Bluff Blvd.	Clinton	IA	52732	Outpatient Therapy Medication Management
Crossroads Inc.	1424 Houser St.	Muscatine	IA	52761	Vocational Residential
DAC INC Name change effective 1/1/2017. See: Imagine the Possibilities.					
Delaware/Dubuque/Jackson Regional Transit Authority	7600 Commerce St.	Dubuque	IA	52002	Transportation
DHS Targeted Case Management	3817 W. Locust St.	Davenport	IA	52804	Case Management
Families, Inc.	233 S. 2 nd St.	West Branch	IA	52358	Outpatient Therapy
Family Counseling and Psychology Center	2485 Tech Drive	Bettendorf	IA	52722	Outpatient Therapy
Family Foundations of Iowa	2435 Kimberly Road, Suite 155	Bettendorf	IA	52722	Outpatient Therapy
Family Resources	2800 Eastern Ave.	Davenport	IA	52803	Outpatient Therapy Integrated Health Services (IHH)
Family Resources	119 Sycamore St.	Muscatine	IA	52761	Outpatient Therapy Medication Management Integrated Health Services (IHH)
First Med Pharmacy	1227 E. Rusholme St.	Davenport	IA	52803	Medications
Foundation 2	1540 2 nd Ave. SE	Cedar Rapids	IA	52403	Mobile Crisis
Genesis Medical Center Davenport	1401 W. Central Park Ave.	Davenport	IA	52804	Emergency Department Inpatient Unit for Adults & Juveniles Crisis Stabilization Referral
Genesis Medical Center DeWitt	1118 11 th St	DeWitt	IA	52742	Emergency Department
Genesis Psychology Associates	4455 E. 56 th St.	Davenport	IA	52807	Outpatient Therapy Medication Management

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<u>Provider Name</u>	<u>Address</u>	<u>City</u>	<u>State</u>	<u>Zip code</u>	<u>Services Provided</u>
Globetrotters Transportation/Virgie White	1430 W. 8th St.	Davenport	IA	52802	Transportation
Goodwill of Northeast Iowa	2121 Holiday Dr.	Dubuque	IA	52002	Vocational
Goodwill of the Heartland	1410 S. 1st Ave.	Iowa City	IA	52240	Vocational
Handicapped Development Center	3402 Hickory Grove Rd.	Davenport	IA	52806	Residential Vocational Respite
Hillcrest Mental Health Center	117 S. Olive St.	Maquoketa	IA	52060	Outpatient Therapy Medication Management Integrated Health Home Crisis Stabilization
HyVee Drugstore	510 E. 6 th St.	Muscatine	IA	52761	Medication
HyVee Grocery	2600 2 nd Ave.	Muscatine	IA	52761	Medication Assistance
Imagine The Possibilities (Formerly DAC INC)	108 E Industrial St.	DeWitt	IA	52742	Vocational Residential Representative Payee
Jackson County Case Management	311 W. Platt St.	Maquoketa	IA	52060	Targeted Case Management
Jackson County Mental Health Dept.	311 W. Platt St.	Maquoketa	IA	52060	Service Coordination Outreach
Jackson County Regional Health Center	700 W. Grove St.	Maquoketa	IA	52060	Emergency Room Crisis Stabilization Referral
Knights Inn (Amy & OM Inc)	6310 N. Brady St.	Davenport	IA	52806	Crisis Care Coordination
Liberty Counseling	1912 Middle Rd., Suite 300B	Bettendorf	IA	52722	Outpatient Therapy
Lincoln Prairie Behavioral Health Center	5230 S 6 th Street Rd.	Springfield	IL	62703	Inpatient Unit-Juveniles
Lutheran Services in Iowa	315 Iowa Ave., Suite C	Muscatine	IA	52761	Residential Outpatient Therapy Community Support
Lutheran Services in Iowa	315 5 th Ave. S.	Clinton	IA	52732	Crisis Stabilization
Mercy Medical Center-Clinton	1410 N. 4 th St.	Clinton	IA	52732	Inpatient Unit for Adults Crisis Stabilization Emergency Department Referral
Midwest Building Services Inc.	2720 Ripley St.	Davenport	IA	52803	Home and Vehicle Modification

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<u>Provider Name</u>	<u>Address</u>	<u>City</u>	<u>State</u>	<u>Zip code</u>	<u>Services Provided</u>
Muscatine center for Social Action (MCSA)	312 Iowa Ave.	Muscatine	IA	52761	Crisis Care Coordination
Muscatine Community Services	315 Iowa Ave.	Muscatine	IA	52761	Service Coordination Case Management Guardian/Conservator Outreach Representative Payee
Muscatine City Transit	1459 Washington St.	Muscatine	IA	52761	Transportation
New Dawn Counseling	2821 Hwy 22	Muscatine	IA	52761	Outpatient Therapy
New Choices Inc.	2501 18 th St., Suite 201	Bettendorf	IA	52722	Residential Home Health
New Choices Inc.	2213 Grand Ave.	Muscatine	IA	52761	Residential Home Health
New Choices Inc.	2320 N. 2 nd St.	Clinton	IA	52732	Residential Home Health
Optimae Life Services	3500 Harmony Ct.	Muscatine	IA	52761	Outpatient Therapy Representative Payee Residential Home Health
Pathway Living Center Inc.	562 2 nd Ave. S., P.O. Box 1896	Clinton	IA	52732	Outpatient Therapy Residential
Philips Lifeline (PERS)	3421 W. 9 th St.	Waterloo	IA	50702	Medical Alert System Caregiver Resources, Falls Safety Resources
Quad City Inn (Omni Inc)	6111 N. Brady St.	Davenport	IA	52806	Crisis Care Coordination
REM Iowa Community Services	4711 N. Brady St.	Davenport	IA	52806	Residential
River Bend Transit	7440 Vine Street Ct.	Davenport	IA	52806	Transportation
Scott County Community Services	600 W. 4 th St.	Davenport	IA	52801	Service Coordination Outreach Guardian/Conservator Representative Payee
Skyline Center INC	2600 N. 4th St.	Clinton	IA	52732	Residential Vocational Home Health
Systems Unlimited	114 W. 5 th St.	Tipton	IA	52772	Residential
TLC In-Home Services	1140 10 th Ave. N.	Clinton	IA	52732	Transportation Services

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<u>Provider Name</u>	<u>Address</u>	<u>City</u>	<u>State</u>	<u>Zip code</u>	<u>Services Provided</u>
Travel Inn Clinton	302 6 th Ave S.	Clinton	IA	52732	Crisis Care Coordination
UnityPoint Health Robert Young Center	2701 17 th St.	Rock Island	IL	61201	Outpatient Therapy Medication Management Community Support - Program Integrated Health Services (IHH) Crisis Stabilization Unit (CSU) Inpatient Unit for Adults and Juveniles
UnityPoint Health Trinity Bettendorf	4480 Utica Ridge Rd.	Bettendorf	IA	52722	Tele-Health: Crisis Evaluation
UnityPoint Health Trinity Muscatine	1518 Mulberry Ave.	Muscatine	IA	52761	Emergency Department Referral Outpatient Therapy Medication Management Home Health Tele-Health: Referral from Crisis Stabilization Unit CSU
UnityPoint Clinic Express Care	3426 N. Port Dr., Suite 100	Muscatine	IA	52761	Outpatient Therapy
Vera French Community Mental Health Center	1441 W. Central Park Ave.	Davenport	IA	52804	Outpatient Therapy Medication Management Outreach Residential Community Support Program Integrated Health Services (IHH) Crisis Stabilization
Victory Center/YWCA	480 S. 3 rd St.	Clinton	IA	52732	Rental Assistance
Wagner Pharmacy	1726 N 2 nd St., PO Box 247	Clinton	IA	52732	Medication Assistance
Wester Drug Store	315 E. 2 nd St.	Muscatine	IA	52761	Medication Assistance

F. Sliding Fee Schedules Annual & Monthly

County Fiscal Year 2018 SLIDING FEE SCHEDULE													
MONTHLY FEDERAL POVERTY LEVEL GROSS INCOME GUIDELINES													
MONTHLY Income Thresholds by Sliding Fee Discount Pay Class and Percent of Poverty													
Poverty Level*	100%	150%	175%		200%		225%		250%		300%		>300%
Family Size		≤ Below	15% Co-pay		30% Co-pay		45% Copay		60% Co-pay		75% Co-pay		ineligible
1	\$1,005	\$1,508	\$1,509	\$1,759	\$1,760	\$2,010	\$2,011	\$2,261	\$2,262	\$2,513	\$2,514	\$3,015	\$3,016
2	\$1,353	\$2,030	\$2,031	\$2,368	\$2,369	\$2,706	\$2,707	\$3,044	\$3,045	\$3,383	\$3,384	\$4,059	\$4,060
3	\$1,702	\$2,553	\$2,554	\$2,979	\$2,980	\$3,404	\$3,405	\$3,830	\$3,831	\$4,255	\$4,256	\$5,106	\$5,107
4	\$2,050	\$3,075	\$3,076	\$3,588	\$3,589	\$4,100	\$4,101	\$4,613	\$4,614	\$5,125	\$5,126	\$6,150	\$6,151
5	\$2,398	\$3,597	\$3,598	\$4,197	\$4,198	\$4,796	\$4,797	\$5,396	\$5,397	\$5,995	\$5,996	\$7,194	\$7,195
6	\$2,747	\$4,121	\$4,122	\$4,807	\$4,808	\$5,494	\$5,495	\$6,181	\$6,182	\$6,868	\$6,869	\$8,241	\$8,242
7	\$3,095	\$4,643	\$4,644	\$5,416	\$5,417	\$6,190	\$6,191	\$6,964	\$6,965	\$7,738	\$7,739	\$9,285	\$9,286
8	\$3,443	\$5,165	\$5,166	\$6,025	\$6,026	\$6,886	\$6,887	\$7,747	\$7,748	\$8,608	\$8,609	\$10,329	\$10,330
Each Additional person, add	\$348	\$522	\$609		\$696		\$783		\$870		\$1,044		

8Based on: CMCS/CAHPG/DEEO 2017 Poverty Guidelines. Discount Percentages are changed on an annual basis effective July 1st to be included with the ASB Plan.
([HTTP//ASPE.HHS.GOV/POVERTY](http://ASPE.HHS.GOV/POVERTY))

County Fiscal Year 2018 SLIDING FEE SCHEDULE

ANNUAL FEDERAL POVERTY LEVEL GROSS INCOME GUIDELINES													
ANNUAL Income Thresholds by Sliding Fee Discount Pay Class and Percent of Poverty													
Poverty Level*	100%	150%	175%		200%		225%		250%		300%		>300%
Family Size		≤ Below	15% Co-pay		30% Co-pay		45% Co-pay		60% Co-pay		75% Co-pay		ineligible
1	\$12,060	\$18,090	\$18,091	\$21,105	\$21,106	\$24,120	\$24,121	\$27,135	\$27,136	\$30,150	\$30,151	\$36,180	\$36,181
2	\$16,240	\$24,360	\$24,361	\$28,420	\$28,421	\$32,480	\$32,481	\$36,540	\$36,541	\$40,600	\$40,601	\$48,720	\$48,721
3	\$20,420	\$30,630	\$30,631	\$35,735	\$35,736	\$40,840	\$40,841	\$45,945	\$45,946	\$51,050	\$51,051	\$61,260	\$61,261
4	\$24,600	\$36,900	\$36,901	\$43,050	\$43,051	\$49,200	\$49,201	\$55,350	\$55,351	\$61,500	\$61,501	\$73,800	\$73,801
5	\$28,780	\$43,170	\$43,171	\$50,365	\$50,366	\$57,560	\$57,561	\$64,755	\$64,756	\$71,950	\$71,951	\$86,340	\$86,341
6	\$32,960	\$49,440	\$49,441	\$57,680	\$57,681	\$65,920	\$65,921	\$74,160	\$74,161	\$82,400	\$82,401	\$98,880	\$98,881
7	\$37,140	\$55,710	\$55,711	\$64,995	\$64,996	\$74,280	\$74,281	\$83,565	\$83,566	\$92,850	\$92,851	\$111,420	\$111,421
8	\$41,320	\$61,980	\$61,981	\$72,310	\$72,311	\$82,640	\$82,641	\$92,970	\$92,971	\$103,300	\$103,301	\$123,960	\$123,961
Each Additional person, add	\$4,180	\$6,270	\$7,315		\$8,360		\$9,405		\$10,450		\$12,540		

*Based on: CMCS/CAHPG/DEEO 2017 Poverty Guidelines. Discount Percentages are changed on an annual basis effective July 1st to be included with the ASP Plan.
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